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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/785,391	02/15/2001	2662	409	066303.0124	8	26	3

CONFIRMATION NO. 2369

## CORRECTED FILING RECEIPT



\*OC000000006397369\*

Barton E. Showalter, Esq.  
Baker Botts L.L.P.  
6th Floor  
2001 Ross Avenue  
Dallas, TX 75201-2980

Date Mailed: 08/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Robert H. Witcher, Austin, TX;  
David N. Miller, Austin, TX;  
Eric S. Parham, Austin, TX;

## Assignment For Published Patent Application

General Bandwidth Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 04/05/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Docket ☒ Wrapper ☒

RVF Docketed \_\_\_\_\_

Reference(s) \_\_\_\_\_

System and method for selecting a compression algorithm according to an available bandwidth

**Preliminary Class**

370

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**Data entry by :** LITTLE, KEVIN

**Team :** OIPE

**Date:** 08/08/2001



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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Office of Initial Patent Examination  
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ATTORNEY DOCKET NO.  
066303.0124

PATENT APPLICATION  
09/785,391

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert H. Whitcher, et al.  
Serial No.: 09/785,391  
Filed: February 15, 2001  
Group No.: 2667  
Examiner: Alexander O. Boakye  
Notice of Allowance Mailed: January 7, 2004  
Confirmation No.: 2369  
Title: **SYSTEM AND METHOD FOR SELECTING A  
COMPRESSION ALGORITHM ACCORDING  
TO AN AVAILABLE BANDWIDTH**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Anthony T. Smith  
Name

April 5, 2004  
Date of Signature

**LETTER REQUESTING CORRECTION OF ENTITY STATUS**

The Notice of Allowance mailed January 7, 2004 incorrectly listed the Entity Status for the above-identified patent application as large. Enclosed for reference is a copy of the Verified

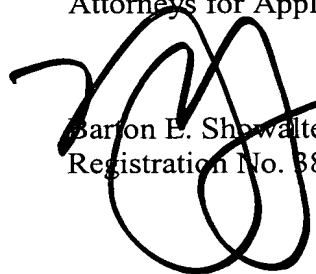
ATTORNEY DOCKET NO.  
066303.0124

PATENT APPLICATION  
09/785,391

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Statement filed in this application, the Baker Botts L.L.P. Return Postcard showing that the Verified Statement was received by the United States Patent and Trademark Office, and a copy of the Filing Receipt confirming the Entity Status for this application as Small Entity. Issue Fees paid concurrently herewith are based on Small Entity Status.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Barton E. Showalter  
Registration No. 38,302

Date: April 5, 2004  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980  
(214) 953-6509  
Attorney Docket No. 066303.0124

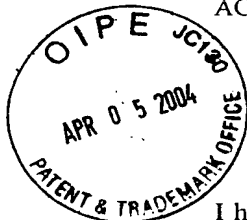
Applicant or Patentee: Robert H. Whitcher, et al.

Attorney's Docket No. 066303.0124

Serial or Patent No.

Filed or Issued:

Title: SYSTEM AND METHOD FOR SELECTING A COMPRESSION ALGORITHM  
ACCORDING TO AN AVAILABLE BANDWIDTH



STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN

I hereby state that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: General Bandwidth Inc.  
Address of Small Business Concern: 12303-B Technology Blvd.  
Austin, Texas 78727

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SYSTEM AND METHOD FOR SELECTING A COMPRESSION ALGORITHM ACCORDING TO AN AVAILABLE BANDWIDTH by inventors Robert H. Whitcher, David N. Miller, Eric Sean Parham, described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e):

NONE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or my maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

Name of Person Signing: Brendon W. Mills  
Title of Person if other than owner: President and CEO  
Address of Person Signing: 12303-B Technology Blvd.  
Austin, Texas 78727

Signature: GSIW

Date: 2-17-01



The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

<input checked="" type="checkbox"/> Circle One: <input checked="" type="checkbox"/> New Appn.; C.P.A.; Con.; CIP.; DIV.; Provisional; Converted Provisional	<input type="checkbox"/> EXTENSION OF TIME MTHS _____
<input checked="" type="checkbox"/> DECLARATION/POWER OF ATTORNEY	<input type="checkbox"/> NOTICE OF APPEAL _____
<input checked="" type="checkbox"/> ASSIGNMENT	<input type="checkbox"/> APPEAL BRIEF _____
<input checked="" type="checkbox"/> VERIFIED STATEMENT	<input type="checkbox"/> ISSUE FEE 107031 \$40.00
<input checked="" type="checkbox"/> FORMAL DWGS 8 PAGES	<input checked="" type="checkbox"/> CHECK NO. 107032 AMT.: \$407.00
<input type="checkbox"/> INFORMAL DWGS _____ PAGES	<input type="checkbox"/> SERIAL NO. _____
<input type="checkbox"/> Circle One: AMENDMENT/RESPONSE _____	<input checked="" type="checkbox"/> OTHER Bibliographic Data Sheet Information Disclosure Sheet 2 Ref

NAME OF INVENTOR(S): <i>Robert H. Whitaker, et al.</i>	
TITLE OF INVENTION: <i>Sam for Selective Compression Algorithm</i>	
CLIENT OR APPLICANT: <i>General Bandwidth</i>	B&B FILE NO.: <i>066393.0124</i>
MAILED: <i>2/15/01</i> EXPRESS <input checked="" type="checkbox"/>	
DUE: _____	
RECEIPT NO.: <i>EL75919040505</i>	
ATTY/SECY: <i>JDB/bt</i> CERTIFICATE OF MAILING <input checked="" type="checkbox"/>	
HAND DELIVERED <input type="checkbox"/>	

RECEIPT DATE & SERIAL NO:

Jc929 U.S. PTO

09/785391



02/15/01